

**Iowa Department of Corrections  
(DOC)**

**C O R R E C T I O N S 101**

**Presentation to**

**Justice Appropriations  
Subcommittee**

**29 January 2003**

# Names and Numbers You Need to Know

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When you really need to find somebody:

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Fay Olson..... 242-5712

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# Corrections Background

The Board of Corrections approves policy for the Department of Corrections. The Board of Corrections meets monthly.

## Board of Corrections Members

**Suellen Overton, Chair**  
(Council Bluffs)

**Frances V. Colston, Vice Chair**  
(West Des Moines)

**Arthur Neu**  
(Carroll)

**Don Tietz**  
(Algona)

**Haywood Belle**  
(Iowa City)

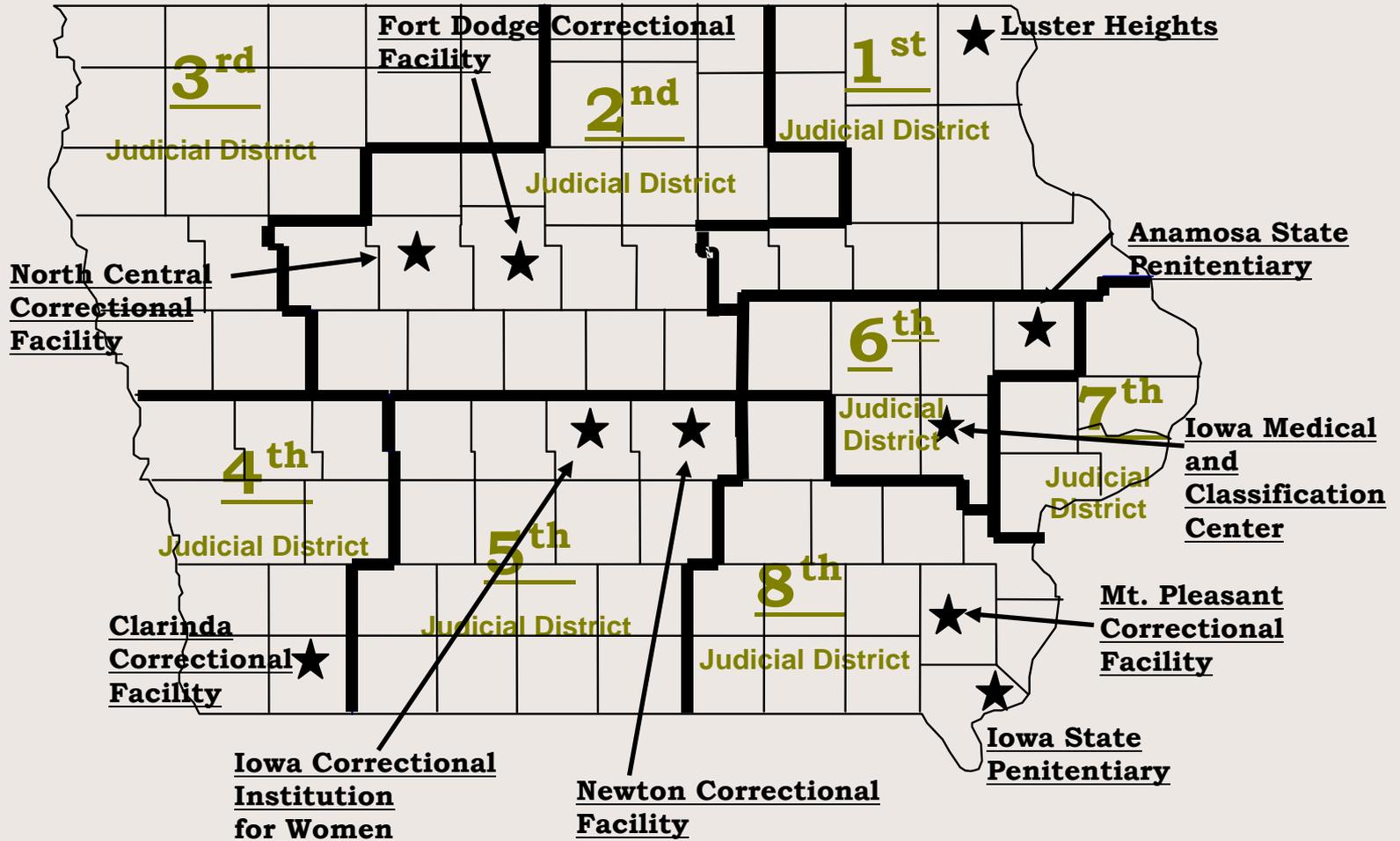
**Robyn Mills**  
(Johnston)

**Walter Reed, Jr.**  
(Waterloo)

# Corrections Background

- The Department was created on October 1, 1983.
- Prior to 1983, Corrections was a division within the Department of Social Services (now Human Services).
- In January 1998, the Department abandoned its organizational structure that included a Division of Community Corrections and a Division of Institutions in favor of two regional operations, East and West. Under the regional structure, institutions and community corrections in the respective regions, fall under the oversight of a single Regional Deputy Director, thereby creating a seamless process for offenders to move through.
- The Department operates 9 institutions in Iowa and contracts 8 Community-Based Corrections programs that serve every county in the state.

# Iowa Department of Corrections' Institutions and Community-Based Corrections Districts



# Corrections Background

**The Department's main responsibilities include:**

- Prisons and oversight of Community-Based Corrections programs:
  - Parole
  - Probation
  - Work Release
  - Residential Facilities
  - Pretrial
  - Presentence Investigations

# Corrections Background

**The Code of Iowa specifies that the Department and Community-Based Corrections programs provide the following.**

- Education
- Day Programming
- Electronic Monitoring
- Pretrial Release
- Presentence Investigation
- Jail Inspections
- Iowa Prison Industries and Prison Farms
- OWI Offenders
- Violators Program
- Interstate Compact

# Corrections Background

## Federal Court Orders:

- Consent decree which deals with Ft. Madison and the conditions of special need offenders.
- All other consent orders have been resolved.
- Today, there are over 300 various lawsuits pending against DOC.
- The court looks at issues involving the 8<sup>th</sup> and 14<sup>th</sup> Amendments (the 8<sup>th</sup> relates to **CRUEL AND UNUSUAL PUNISHMENT** and the 14<sup>th</sup> relates to **DUE PROCESS**. Basically, the court looks at issues of condition and confinement:

- 1) Health care
- 2) Mental health
- 3) Interact with others
- 4) Religion
- 5) Housing and Overcrowding (120% - 130% of capacity)
- 6) Food
- 7) Exercise
- 8) Treatment programs
- 9) Discipline and movement

# Impact of Budget Cuts at Staffing Levels

## Institutions

Beginning FY 2002 Budgeted Staff	3,116.22
Adjusted FY 2002 Budgeted Staff	3,033.35
Beginning FY 2003 Budgeted Staff	3,026.06
Staff Employed July 1, 2002	<u>2,812.50</u>
Net Reduction from FY 2002	(303.72*)

## Community-Based Corrections

Beginning FY 2002 Budgeted Staff	1,117.20
Adjusted FY 2002 Budgeted Staff	1,094.87
Beginning FY 2003 Budgeted Staff	1,111.86
Staff Employed July 1, 2002	<u>1,044.87</u>
Net Reduction from FY 2002	(72.33)

\* 35% of these positions were Correctional Officers.

# Impact of Budget Cuts on Treatment Programs

- Virtually every treatment program in the institutions and Community-Based Corrections has been reduced.
- Treatment programs reduced or virtually eliminated include:
  - ✓ Education
  - ✓ Sex Offender
  - ✓ Substance Abuse
  - ✓ Counseling
  - ✓ Mental Health
  - ✓ Criminal Thinking
  - ✓ Domestic Violence
  - ✓ Youthful Offenders
  - ✓ OWI Treatment
  - ✓ Anger Management

# A Century of Iowa Inmates

The Corrections system has been a growth area since 1987/88.



# Institution Facts

## Iowa operates 9 prisons located at:

- **Fort Madison** Iowa State Penitentiary
- **Anamosa** Anamosa State Penitentiary
- **Oakdale** Iowa Medical and Classification Center
- **Mt. Pleasant** Mt. Pleasant Correctional Facility
- **Newton** Newton Correctional Facility
- **Rockwell City** North Central Correctional Facility
- **Clarinda** Clarinda Correctional Facility
- **Mitchellville** Iowa Correctional Inst. for Women
- **Fort Dodge** Fort Dodge Correctional Facility

# Institution Facts

- On January 21, 2003, there were 2,844 staff employed in the prisons.
- The top 5 personnel classes in the institutions are:
  - Correctional Officer – 1,611.00
  - Correctional Counselor – 146.00
  - Registered Nurse – 105.00
  - Correctional Food Service Coord. – 102.00
  - Correctional Trades Leader – 85.00
- The prisons are open 24 hours a day, every day.
- It takes over 5 staff to cover one, 24-hour a day, seven day a week post or job assignment.

# Institution Facts

1. **The prisons are funded for 7,600 inmates.**
2. What is the most “popular” crime – what are the “top 10”?

Prohibited Acts/Controlled, Counterfeit, Simulated Substances  
(902 inmates - 10 year sentence)

Prohibited Acts/Controlled, Counterfeit, Simulated Substances  
(471 inmates - 25 year sentence)

Burglary – 3rd (393 inmates - 5 year sentence)

Robbery – 2nd (372 inmates - 10 year sentence)

Murder – 1st (365 inmates - life)

Sex Abuse – 3rd (349 inmates - 10 year sentence)

Theft – 1st (308 inmates - 10 year sentence)

Forgery (301 inmates - 5 year sentence)

OWI - 3rd (270 inmates – 5 year sentence)

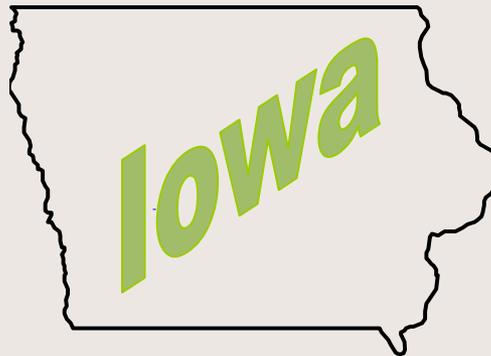
Theft - 2nd (268 inmates – 5 year sentence)

## Institution Facts

3. What is the most common problem identified by inmates/offenders?

*Substance abuse issues*

4. The Department of Corrections has always been frugal. Only 3 states spend less per citizen on corrections than Iowa does.



# Per Capita Corrections Spending

<u>Rank</u>	<u>Spending</u>
45. South Dakota	\$61.04
46. Missouri	\$59.43
47. Montana	\$58.63
<b>48. Iowa</b>	<b>\$54.03</b>
49. Mississippi	\$40.15
50. North Dakota	\$38.29
51. West Virginia	\$32.27

**U.S. Average = \$114.83**

# Fastest Growing State Prison Systems 1999-2000

## Top Five States

1. Idaho	14.1%
2. N. Dakota	14.1%
3. Mississippi	10.9%
4. Vermont	10.5%
<b>5. Iowa</b>	<b>10.0%</b>

U.S. Department of Justice, Bureau of Justice Statistics

# What are the characteristics of an “average” inmate?

## Race Breakdown

White	5,917
African American	1,852
Native American	130
Asian	66
Hispanic	409
Other	22
Unknown	2

**Average Age is 34**

## Sentences (Years)

< 2 year	33
2 to < 5 years	510
5 to < 10 years	2,154
10 to < 15 years	2,761
15 to < 20 years	292
20 to < 25 years	48
25 to < 50 years	1,416
50+ years	781
Unknown	403

## Education Level – Average Education is 11.6

### Iowa Adult Commitments

1 -	5,876
2 -	1,605
3 -	596
4 -	217
5 -	71
6 -	19
7 -	9
8 -	4
9 -	13

### \* Inmates with

Life Sentences	548
Mandatory Minimum	1,802
Person Crimes	3,911
Non/Person Crimes	3,134
Chemical Crimes	2,574

\* May not add to total inmates.

**Average Reading Level – 9.6**

## No. of Sentences Per Inmate

0 -	379	5 -	215
1 -	3,862	6 -	92
2 -	2,282	7 -	62
3 -	966	8 -	27
4 -	459	9+	54

# Institution Capacity / Security Type

	<u>Capacity</u>	<u>Security Type</u>
Iowa State Penitentiary	921	Max. – 589 Med. – 52 (John Bennett) Min. – 180 (Farms 1 & 3)
Anamosa State Penitentiary	984	Med. – 913 Min. – 71 (Luster Heights)
Iowa Medical and Clas. Center	504	Med. – 504
Mt. Pleasant Correctional Facility	875	Med. – 875
Newton Correctional Facility	928	Med. – 762 Min. – 166 (CRC)
North Central Correctional Facility	245	Min. – 245
Clarinda Correctional Facility	750	Med. – 750
Iowa Correctional Inst. for Women	443	Min. – 443
Fort Dodge Correctional Facility	<u>1,162</u>	Med. – 1162
Total Capacity	6,812	

## Recent Prison Construction

Clarinda	750 Beds – Men – GP	4/1996
Newton	750 Beds – Men – GP	7/1997
Fort Dodge	750 Beds – Men – GP	7/1998
	400 Beds – Men – GP	2/2000
Mt. Pleasant	100 Beds – Women Special Needs	4/1999
Mitchellville	184 Beds – Women – GP	4/2000
	48 Beds – Women – GP	11/2000
Ft. Madison	200 Beds – Men Special Needs	9/2002
Oakdale	170 Beds – Men Special Needs	9/2005

GP = General Population

Institutions have 3 main **CUSTODY CLASSIFICATIONS** for inmates:

- Maximum custody
- Medium custody
- Minimum custody

The institutions have the following **BEDS** by classification level:

- Maximum custody – 589
- Medium custody – 5,118
- Minimum custody – 1,105

The institutions have the following **INMATES** by classification level:

- Maximum custody – 923
- Medium custody – 4,962
- Minimum custody – 1,674
- Not Classified – 820

# Inmates Per 100,000 Population



## Community-Based Corrections (CBC) Facts

- Iowa operates 8 Community-Based Corrections Districts with headquarters located in:

**Waterloo – 1<sup>st</sup> District**

**Ames – 2<sup>nd</sup> District**

**Sioux City – 3<sup>rd</sup> District**

**Council Bluffs – 4<sup>th</sup> District**

**Des Moines – 5<sup>th</sup> District**

**Cedar Rapids – 6<sup>th</sup> District**

**Davenport – 7<sup>th</sup> District**

**Fairfield/Ottumwa – 8<sup>th</sup> District**

- By Code of Iowa, Community-Based Districts and Judicial Districts are identical.

# Community-Based Corrections (CBC) Facts

- The 23 residential facilities located in the following facilities are open 24 hours a day.

## **1st District**

West Union Residential Facility  
Dubuque Residential Facility  
Waterloo Residential Correctional Facility  
Waterloo Work Release

## **2nd Judicial District**

Curt Forbes Residential Center, Ames  
Fort Dodge Residential Facility  
Marshalltown Residential Facility  
Beje Clark Residential Center, Mason City

## **3rd Judicial District**

Residential Treatment Facility, Sioux City  
Residential Treatment Facility, Sheldon

## **4th Judicial District**

Council Bluffs Residential Facility  
Council Bluffs Women's Residential Facility

## **5th District**

Fort Des Moines Work Release  
Fort Des Moines Residential Facility  
Fort Des Moines OWI Correctional Center  
Des Moines Women's Residential Facility  
(all Des Moines)

## **6th Judicial District**

Hope House (John Stratton Center), Coralville  
Gerald R. Hinzman Center, Cedar Rapids  
Lary A Nelson Center, Cedar Rapids

## **7th Judicial District**

Work Release Center, Davenport  
Residential Corrections Facility, Davenport

## **8th Judicial District**

Ottumwa Residential Facility  
Burlington Residential Facility

## Community-Based Corrections (CBC) Facts

- On January 21, 2003, there were 1,109.07 staff employed by the CBC Districts.
- The top 5 personnel classes in the Districts are:
  - Probation/Parole Officer – 436.00
  - Residential Officer – 258.00
  - Secretary – 117.00
  - Probation/Parole Supervisor – 42.00
  - Treatment Coordinator – 28.00
- Residential bed capacity is 1,404.

## Community-Based Corrections (CBC) Facts

- The number of offenders under supervision is 26,685 (1-21-2003).
- The FY 2003 CBC budget, G.F. only, is \$55,228,889 (includes supplemental).
- The local income for Community-Based Corrections is \$14,140,042.

# Criminal Justice Relationships

Code of Iowa 904: Department of Corrections  
primary role – managing institutions and  
contracting with Community-Based  
Corrections.

Code of Iowa 904A: Board of Parole primary  
role of determining release from institutions.

Code of Iowa 905: Community-Based  
Corrections: primary role of managing pre  
and post institutional services for offenders.

Code of Iowa 901B: Corrections Continuum

# It's All About Risk!

**Prisons** address risk management through:

- Classification of supervision levels: Maximum, medium, and minimum in the institutions.

**Community-Based Corrections** address risk management through:

- Intensive, high normal, low normal, minimum, and administrative.

We address risk reduction through treatment interventions:

- Education, mental health, substance abuse, sex offender, criminal thinking, domestic violence, anger management, victim impact, youthful offender and day programs.

## Did You Know?

The Courts control who gets sent to prison and the Board of Parole control who gets released. The DOC does not have the ability to control its population without the intervention of those two entities.

Prison population on June 30, 1990 = 3,842

Prison population on January 21, 2003 = 8,403

CBC population on June 30, 1990 = 17,716

CBC population on January 21, 2003 = 26,685

# Corrections Continuum

Level	Program	Total Offenders Served	
		FY 02	FY 03 (July-Dec)
5 - Full-Term Incarceration	Prison	13285	10989
	Jail over 30 days	N/A	N/A
4 - Short-Term Incarceration	Violators' Program	411	328
3 - Quasi-Incarceration	Residential Facilities	5,558	3,364
2A - Intensive Supervision Programs	Intensive Supervision Program	3,305	2366
	Youthful Offender Program	272	224
	Drug Court	159	227
	Day Reporting - Sup by RF	216	121
	RF	69	36
<b>Sub-Total: # Served Intensive Services</b>		4,021	2974
<b>Sub-Total: % Served Intensive Services</b>		7%	7%
2B - Regular Supervision	Probation	27,030 <sup>(3)</sup>	20,684
	Parole	4,151 <sup>(3)</sup>	3,414
	Pre-Trial Release	5,753 <sup>(3)</sup>	3,102
	Other <sup>(1)</sup>	240	95
<b>Sub-Total: # Served Regular Supervision</b>		37,174	27,295
<b>Sub-Total: % Served Regular Supervision</b>		66%	67%
2C - Community Corrections Monitored	Low Risk Probation	9,593	6,869
<b>Sub-Total: # Monitored Served</b>		9,593	6,869
<b>Sub-Total: % Monitored Served</b>		17%	17%
1 - Self-Monitored	Fines	N/A	N/A
<b>Total Served - Prison</b>		13,285	10,989
<b>Total Served - Violators Program</b>		411	328
<b>Total Served - CBC</b>		56,346	40,502
<b>Total Offenders Served - DOC</b>		70,046	51,641

(1) Includes Federal Home Confinement, OWI Pre-Placement, Community Supervision 902.3A, No correctional Supervision Status (offenders who have not been sentenced but the court placed them in Drug Court or Youthful Offender Program or Intensive Supervision for Sex Offenders), OWI Pre-placements supervised by a residential facility.

(2) Offenders Served - defined - FY 02 = Active offender counts as of 7/01/01 plus new admissions from 7/1/01 through 6/30/02. FY 03 = Active offender counts as of 7/01/02 plus new admissions from 7/1/02 through 12/31/02

(3) Probation, Parole and Pretrial numbers are not representative of actual served as additional offenders for these categories are counted in Level 2A and 2C.

# Near Term Issues

1. Sentencing options
2. Budget issues
3. Mental health
4. Substance abuse
5. CBC and Institution Program Improvements
6. Capital construction – major maintenance
7. Data sharing and research
8. Offender Reentry Transition/Pre-release
9. Prison overcrowding
10. Medical Concerns – Hepatitis C, soaring pharmaceuticals costs, aging inmate population, nursing shortage, HIPAA

# Direct Variable Cost

- The cost of adding one more offender to either a Community-Based Corrections caseload or an institution inmate count.
  - Prison \$12.00 per day
  - Residential \$12.06 per day
  - Work Release \$15.47 per day
  - OWI \$23.43 per day
- The concept of direct variable cost and per diem can be confusing.
  - Direct variable cost is a operational number.
  - Per diem is an accounting number.

## DOC would like to thank the following Legislative people.

### Legislators (Chairs)

Bob Carr  
Gary Baugher  
Lyle Krewson  
Gene Fraise  
Clay Speer  
Gary Sherzan  
Gene Maddox  
Teresa Garman  
Lance Horbach  
David Miller  
Charles “Doc” Miller

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